

Introduction to **Information Retrieval**

Document ingestion

Recall the basic indexing pipeline

Documents to be indexed



Friends, Romans, countrymen.

⋮

Tokenizer

Token stream

Friends

Romans

Countrymen

Linguistic modules

Modified tokens

friend

roman

countryman

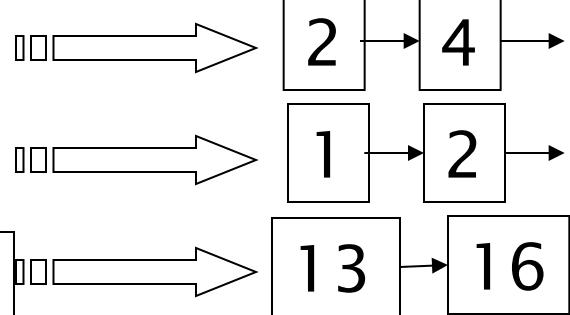
Indexer

Inverted index

friend

roman

countryman



Parsing a document

- What format is it in?
 - pdf/word/excel/html?
- What language is it in?
- What character set is in use?
 - CP1252 (1 byte encoding for Latin and other western languages), UTF-8, ...
- Each of above is a **classification problem** (learnt already in NLP or can be seen again).
- But these tasks are often done heuristically (learn from themselves through trial & error or rules...)

Complications: Format/language

- Document or its components can contain multiple languages/formats
 - French email with a German pdf attachment.
 - French email quote clauses from an English-language
 - Urdu text containing English/Arabic words/sentences.
 - A single index may contain terms from many languages.
- There are **commercial and open source libraries** that can handle a lot of this stuff

Complications: What is a document?

We return from our query “documents” but there are often interesting questions of grain size (**granularity**):

What is a unit document?

- A file?
- An email? (Perhaps one of many in a single mbox file)
 - What about an email with 5 attachments?
- A group of files (e.g., PPT or LaTeX split over HTML pages)

Introduction to **Information Retrieval**

Tokens

Tokenization

- Input: “*Friends, Romans and Countrymen*”
- Output: Tokens
 - *Friends*
 - *Romans*
 - *Countrymen*
- A **token** is an instance of a sequence of characters
- Each such token is now a **candidate for an index entry**, after further processing

But what are valid tokens to emit?

Tokenization

- Issues in tokenization:
 - *Finland's capital* →
Finland AND *s*? *Finlands*? *Finland's*?
 - *Hewlett-Packard* → *Hewlett* and *Packard* as two tokens?
 - *state-of-the-art*: break up hyphenated sequence.
 - *co-education*
 - *lowercase*, *lower-case*, *lower case* ?
 - It can be effective to get the user to put in possible hyphens
 - *San Francisco*: one token or two?
 - How do you decide it is one token?

Numbers

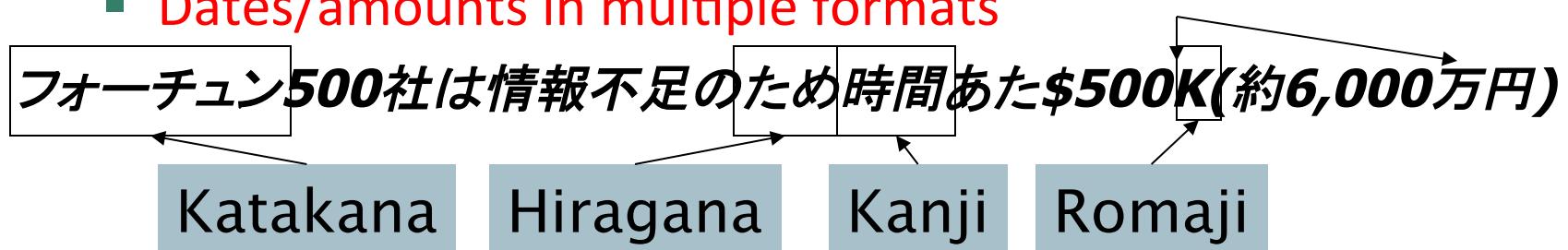
- **3/20/91** *Mar. 20, 1991* **20/3/91**
- **55 B.C.**
- **B-52**
- **(800) 234-2333**
 - Often have embedded spaces
 - Older IR systems may not index numbers
 - But often very useful: think about things like looking up error codes/stacktraces on the web

Tokenization: language issues

- French
 - *L'ensemble* → one token or two?
 - *L* ? *L'* ? *Le* ?
 - Want *L'ensemble* to match with *un ensemble*
 - Until at least 2003, it didn't on Google
- German noun compounds are not segmented
 - *Lebensversicherungsgesellschaftsangestellter*
 - ‘life insurance company employee’
 - German retrieval systems benefit greatly from a **compound splitter** module
 - Can give a 15% performance boost for German

Tokenization: language issues

- Chinese and Japanese have no spaces between words:
 - 莎拉波娃现在居住在美国东南部的佛罗里达。
 - Not always guaranteed a unique tokenization
- Further complicated in Japanese, with multiple alphabets intermingled
 - Dates/amounts in multiple formats



End-user can express query entirely in hiragana!

Tokenization: language issues

- Arabic (or Urdu) is basically written from right to left, but with certain items like numbers are written from left to right.
- Words are separated, but letter forms within a word form complex ligatures

استقلت الجزائر في سنة 1962 بعد 132 عاما من الاحتلال الفرنسي.

← → ← → ← START

‘Algeria achieved its independence in 1962 after 132 years of French occupation.’

- With Unicode, the surface presentation is complex, but the stored form is straightforward

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Terms

The things indexed in an IR system

Stop words

- **Exclude commonest words from the dictionary:**
 - They have little semantic content: *the, a, and, to, be*
 - There are a lot of them: ~30% of postings for top 30 words
- **But the trend is away from doing this:**
 - **Good compression techniques** (IIR 5) means the space for including stop words in a system is very small
 - **Good query optimization techniques** (IIR 7) mean you pay little at query time for including stop words.
 - **You need them for:**
 - Phrase queries: “King of Denmark”
 - Various song titles, etc.: “Let it be”, “To be or not to be”
 - “Relational” queries: “flights to London”

Normalization to terms

- “Normalize” words in indexed as well as query text into the same form.
 - We want to match *U.S.A.* and *USA*
- Result is terms: a term is a (normalized) word type, which is an entry in our IR system dictionary
- Implicitly define equivalence classes of terms e.g.,
 - deleting periods to form a term
 - *U.S.A.*, *USA* → *USA*
 - deleting hyphens to form a term
 - *anti-discriminatory*, *antidiscriminatory* → *antidiscriminatory*

Normalization: other languages

- **Accents**: e.g., French *résumé* vs. *resume*.
- **Umlauts**: e.g., German: *Tuebingen* vs. *Tübingen*
 - Should be equivalent
- Approach:
 - How are users likely to write their queries for these words?
- Even in languages that standardly have accents, users often may not type them
 - Often best to normalize to a de-accented term
 - *Tuebingen, Tübingen, Tubingen* → *Tubingen*

Normalization: other languages

- Tokenization and normalization may depend on the language and so is intertwined with language detection

Morgen will ich in **MIT** ...

Is this
German “mit”?

- Crucial: Need to “normalize” indexed text as well as query terms **identically**

Case folding

- Reduce all letters to lower case
 - exception: upper case in mid-sentence?
 - e.g., General Motors
 - Fed vs. fed
 - SAIL vs. sail
 - Often best to lower case everything, since users will use lowercase regardless of ‘correct’ capitalization...
- Longstanding Google example: [fixed in 2011...]
 - Query C.A.T.
 - #1 result is for “cats”.

Normalization to terms

- An alternative to equivalence classing is to do asymmetric expansion
- An example of where this may be useful
 - Enter: **window** Search: **window, windows**
 - Enter: **windows** Search: **Windows, windows, window**
 - Enter: **Windows** Search: **Windows**
- Potentially more powerful, but less efficient

Thesauri and soundex

- Do we handle synonyms and homonyms?
 - E.g., by hand-constructed equivalence classes
 - *car* = *automobile* *color* = *colour*
 - We can rewrite to form equivalence-class terms
 - When the document contains *automobile*, index it under *car* as well (and vice-versa)
 - Or we can expand a query
 - When the query contains *automobile*, look under *car* as well
- What about spelling mistakes?
 - One approach is Soundex, which forms equivalence classes of words based on phonetic heuristics
- More in IIR 3 and IIR 9

Introduction to **Information Retrieval**

Stemming and Lemmatization

Lemmatization

- Reduce inflectional/variant forms to base form
- E.g.,
 - *am, are, is* → *be*
 - *car, cars, car's, cars'* → *car*
 - *the boy's cars are different colors* → *the boy car be different color*
- Lemmatization implies doing “proper” reduction to dictionary headword form

Stemming

- Reduce terms to their “roots” before indexing
- “Stemming” suggests crude affix chopping
 - e.g., *automate(s)*, *automatic*, *automation* all reduced to *automat*.

for example *compressed* and *compression* are both accepted as equivalent to *compress*.



for exampl compress and compress ar both accept as equival to compress

Porter's algorithm

- Commonest algorithm for stemming English
 - Results suggest it's at least as good as other stemming options
- Conventions + 5 phases of reductions
 - phases applied sequentially
 - each phase consists of a set of commands
 - sample convention: *Of the rules in a compound command, select the one that applies to the longest suffix.*

Typical rules in Porter

- *sses* → *ss*
- *ies* → *i*
- *ational* → *ate*
- *tional* → *tion*
- More than one character before EMENT
 - $(m>1)$ EMENT →
 - *replacement* → *replac*
 - *cement* → *cement*

<https://tartarus.org/martin/PorterStemmer/>

Other stemmers

- Other stemmers exist:
 - **Lovins stemmer**
 - <http://www.comp.lancs.ac.uk/computing/research/stemming/general/lovins.htm>
 - Single-pass, longest suffix removal (about 250 rules)
 - **Paice/Husk stemmer**: <http://paicehusk.appspot.com/>
 - **Snowball stemmer**: <https://snowballstem.org/>
- Use Full morphological analysis (lemmatization)
 - At most modest benefits for retrieval as compared to stemmer (IR, page 33)

Language-specificity

- Discussed methods embody transformations that are
 - Language-specific, and often
 - Application specific.
- These are “plug-in” addenda to the indexing process
- Both open source and commercial plug-ins are available for handling these

Does stemming help?

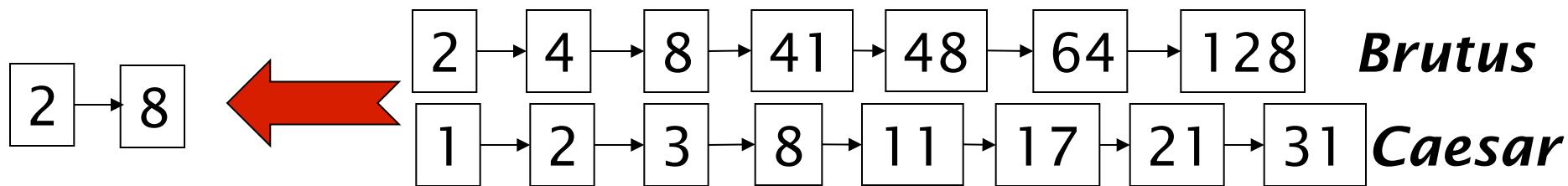
- English: very **mixed results**. Helps recall for some queries but harms precision on others
- Definitely useful for **Spanish, German, Finnish, ...**
 - 30% performance gains for Finnish!

Introduction to **Information Retrieval**

Faster postings merges:
Skip pointers/Skip lists

Recall basic merge

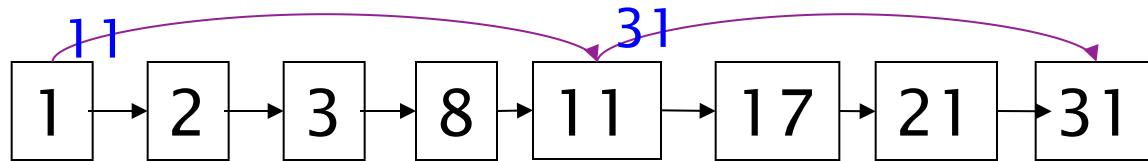
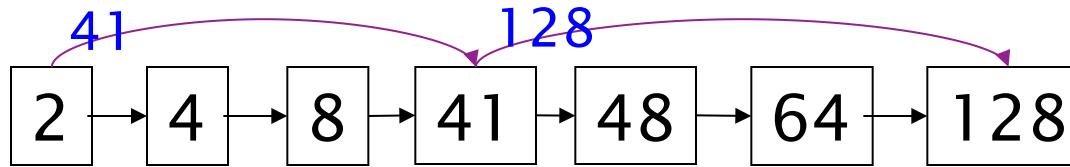
- Walk through the two postings simultaneously, in time linear in the total number of postings entries



If the list lengths are m and n , the merge takes $O(m+n)$ operations.

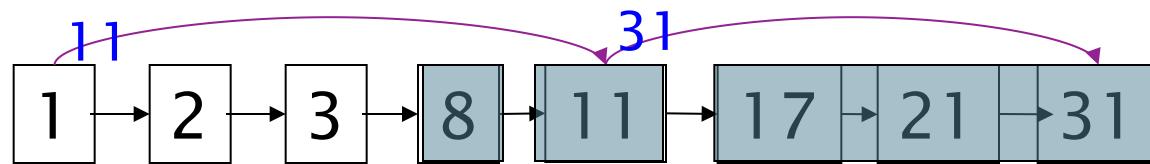
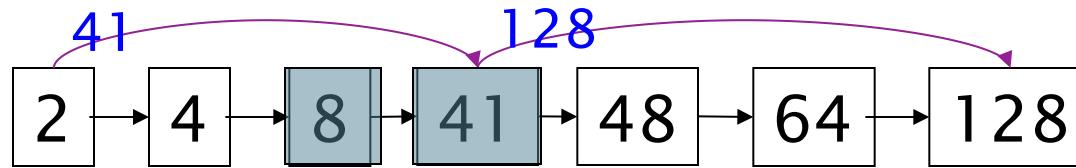
Can we do better?
Yes (if the index isn't changing too fast).

Augment postings with skip pointers (at indexing time)



- Why? To skip postings that are irrelevant.
- How? Where do we place skip pointers?

Query processing with skip pointers



Suppose we've stepped through the lists until we process **8** on each list. We match it and advance.

We then have **41** and **11** on the lower. **11** is smaller.

But the skip successor of **11** on the lower list is **31**, so we can skip ahead past the intervening postings.

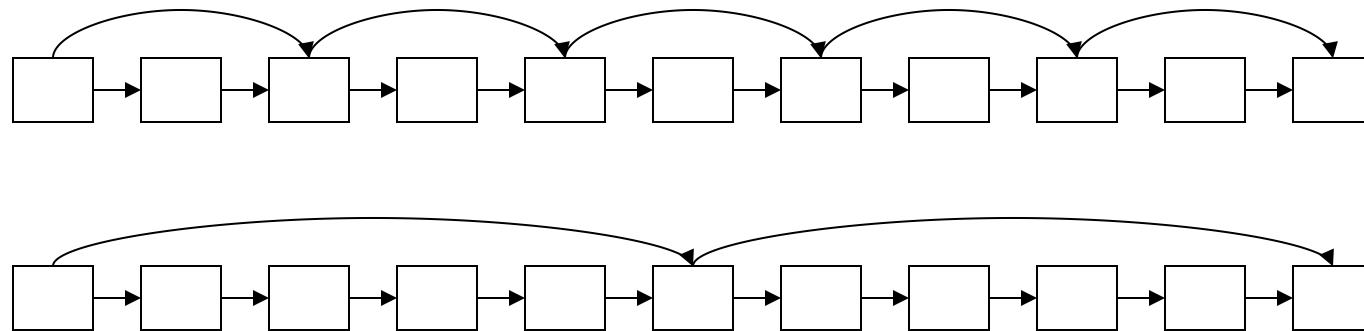
Algorithm

```
INTERSECTWITHSKIPS( $p_1, p_2$ )
```

```
1  answer  $\leftarrow \langle \rangle$ 
2  while  $p_1 \neq \text{NIL}$  and  $p_2 \neq \text{NIL}$ 
3  do if  $\text{docID}(p_1) = \text{docID}(p_2)$ 
4    then ADD(answer,  $\text{docID}(p_1)$ )
5     $p_1 \leftarrow \text{next}(p_1)$ 
6     $p_2 \leftarrow \text{next}(p_2)$ 
7  else if  $\text{docID}(p_1) < \text{docID}(p_2)$ 
8    then if  $\text{hasSkip}(p_1)$  and  $(\text{docID}(\text{skip}(p_1)) \leq \text{docID}(p_2))$ 
9      then while  $\text{hasSkip}(p_1)$  and  $(\text{docID}(\text{skip}(p_1)) \leq \text{docID}(p_2))$ 
10     do  $p_1 \leftarrow \text{skip}(p_1)$ 
11     else  $p_1 \leftarrow \text{next}(p_1)$ 
12  else if  $\text{hasSkip}(p_2)$  and  $(\text{docID}(\text{skip}(p_2)) \leq \text{docID}(p_1))$ 
13    then while  $\text{hasSkip}(p_2)$  and  $(\text{docID}(\text{skip}(p_2)) \leq \text{docID}(p_1))$ 
14    do  $p_2 \leftarrow \text{skip}(p_2)$ 
15    else  $p_2 \leftarrow \text{next}(p_2)$ 
16 return answer
```

Where do we place skips?

- Tradeoff:
 - **More skips** → shorter skip spans ⇒ more likely to skip.
But lots of comparisons to skip pointers.
 - **Fewer skips** → few pointer comparison, but then long skip spans ⇒ few successful skips.



Placing skips

- Simple heuristic: for postings of length L , use \sqrt{L} evenly-spaced skip pointers [Moffat and Zobel 1996]
- This ignores the distribution of query terms.
- Easy if the index is relatively static; harder if L keeps changing because of updates.

- This definitely used to help; with modern hardware it may not unless you're memory-based [Bahle et al. 2002]

Home Work # 2(a)

Exercise 2.6

[★]

We have a two-word query. For one term the postings list consists of the following 16 entries:

[4,6,10,12,14,16,18,20,22,32,47,81,120,122,157,180]

and for the other it is the one entry postings list:

[47].

Work out how many comparisons would be done to intersect the two postings lists with the following two strategies. Briefly justify your answers:

- a. Using standard postings lists
- b. Using postings lists stored with skip pointers, with a skip length of \sqrt{P} , as suggested in Section 2.3.

Homework # 2(b)

- Fast phrase querying with combined indexes
(Williams, Zobel, Bahle 2004)
- Efficient phrase querying with an auxiliary index
(Bahle, Williams, Zobel 2002)
- A skip list cookbook (Pugh 1990)
- Read these articles and submit one page summary for each of them. It can happen I will ask about it some day.

Positional postings and phrase queries

■ Phrase queries

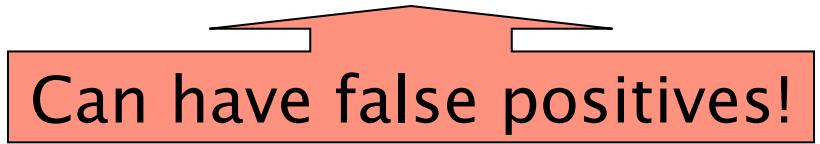
- To answer queries such as “*stanford university*” – as a phrase
 - Sentence “*I went to university at Stanford*” is not a match.
 - Sentence “*The inventor Stanford Ovshinsky never went to university*” is not a match.
- Phrase queries has proven **easily understood by users**;
- For this, it **no longer suffices to store only $\langle term : docs \rangle$ entries**

A first attempt: Biword indexes

- Index every consecutive pair of terms in the text as a phrase
 - For example the text “**Friends, Romans, Countrymen**” would generate the biwords
 - *friends romans*
 - *romans countrymen*
- Each of these **biwords** is now a dictionary term and two-word phrase query-processing is now immediate.

Longer phrase queries

- Longer phrases are processed as we did with wild-cards:
 - *stanford university palo alto* can be broken into the Boolean query on biwords:
 - *stanford university AND university palo AND palo alto*
- Without the docs, we cannot verify that the docs matching the above Boolean query do contain the actual phrase words in a sequence. **They can be at dispersed locations in a doc resulting occurrence of given phrase positively while it is non-existing.**



Can have false positives!

Extended biwords

- Parse the text using part-of-speech-tagging (POST).
- Bucket the terms into Nouns (N) and articles/prepositions (X).
- Call any string of terms of the form NX^*N an **extended biword**.
 - Each such **extended biword** is now made a term in the dictionary.
- Example: *catcher in the rye*

N X X N

- **Query processing**: parse it into N's and X's
 - Segment query into enhanced biwords
 - Look up in index: *catcher rye*

Issues for biword indexes

- False positives, as noted before
- Index blowup due to bigger dictionary
 - Infeasible for more than biwords
- Biword indexes are not the standard solution but can be part of a compound strategy

Positional indexes

- Biword index is not the standard solution.

to, 993427:

```
⟨ 1, 6: ⟨7, 18, 33, 72, 86, 231⟩;  
 2, 5: ⟨1, 17, 74, 222, 255⟩;  
 4, 5: ⟨8, 16, 190, 429, 433⟩;  
 5, 2: ⟨363, 367⟩;  
 7, 3: ⟨13, 23, 191⟩; ... ⟩
```

be, 178239:

```
⟨ 1, 2: ⟨17, 25⟩;  
 4, 5: ⟨17, 191, 291, 430, 434⟩;  
 5, 3: ⟨14, 19, 101⟩; ... ⟩
```

► **Figure 2.11** Positional index example. The word to has a document frequency 993,477, and occurs 6 times in document 1 at positions 7, 18, 33, etc.

Positional indexes

- Suppose the postings lists for *to* and *be* are as in Figure 2.11, and the query is “*to be or not to be*”.
- The postings lists to access are: **to, be, or, not**. We will examine **intersecting the postings lists for to and be**. We first look for documents that contain both terms e.g. 1, 4, and 5.
- *to*, 993427:
 ⟨1, 6: ⟨7, 18, 33, 72, 86, 231⟩;
 2, 5: ⟨1, 17, 74, 222, 255⟩;
 4, 5: ⟨8, 16, 190, 429, 433⟩;
 5, 2: ⟨363, 367⟩;
 7, 3: ⟨13, 23, 191⟩; ...⟩
- *be*, 178239:
 ⟨ 1, 2: ⟨17, 25⟩;
 4, 5: ⟨17, 191, 291, 430, 434⟩;
 5, 3: ⟨14, 19, 101⟩; ...⟩

Positional indexes

- Then, we look for places in the lists where there is an occurrence of *be* with a token index one higher than a position of *to*,
- to, 993427:

~~⟨1, 6: ⟨7, 18, 33, 72, 86, 231⟩;~~

4, 5: ⟨8, 16, 190, 429, 433⟩;

~~5, 2: ⟨363, 367⟩;~~

- be, 178239:

~~⟨1, 2: ⟨17, 25⟩;~~

4, 5: ⟨17, 191, ~~291~~, 430, 434⟩;

~~5, 3: ⟨14, 19, 101⟩; ...⟩~~

Positional indexes

- and then we look for another **occurrence of each word with token index 4 higher than the first occurrence**. In the above lists, the pattern of occurrences that is a possible match is:
- to, 993427:
4, 5: <~~16, 190~~, 429, 433>;
...>
- be, 178239:
4, 5: <~~17, 191~~, 430, 434>;
...>

Positional indexes

- Same concept within k word proximity searches, like
 - employment /3 place
- Here, $/k$ means “within k words of (on either side)”. Clearly, **positional indexes can be used for such queries; bi-word indexes cannot.**
- Figure 2.12 an algorithm for satisfying within k word proximity searches;

Positional indexes

```
POSITIONALINTERSECT( $p_1, p_2, k$ )
1  answer  $\leftarrow \langle \rangle$ 
2  while  $p_1 \neq \text{NIL}$  and  $p_2 \neq \text{NIL}$ 
3  do if  $\text{docID}(p_1) = \text{docID}(p_2)$ 
4    then  $l \leftarrow \langle \rangle$ 
5     $pp_1 \leftarrow \text{positions}(p_1)$ 
6     $pp_2 \leftarrow \text{positions}(p_2)$ 
7    while  $pp_1 \neq \text{NIL}$ 
8    do while  $pp_2 \neq \text{NIL}$ 
9      do if  $|\text{pos}(pp_1) - \text{pos}(pp_2)| \leq k$ 
10     then  $\text{ADD}(l, \text{pos}(pp_2))$ 
11     else if  $\text{pos}(pp_2) > \text{pos}(pp_1)$ 
12       then break
13        $pp_2 \leftarrow \text{next}(pp_2)$ 
14     while  $l \neq \langle \rangle$  and  $|l[0] - \text{pos}(pp_1)| > k$ 
15       do  $\text{DELETE}(l[0])$ 
16       for each  $ps \in l$ 
17         do  $\text{ADD}(\text{answer}, \langle \text{docID}(p_1), \text{pos}(pp_1), ps \rangle)$ 
18        $pp_1 \leftarrow \text{next}(pp_1)$ 
19        $p_1 \leftarrow \text{next}(p_1)$ 
20        $p_2 \leftarrow \text{next}(p_2)$ 
21     else if  $\text{docID}(p_1) < \text{docID}(p_2)$ 
22       then  $p_1 \leftarrow \text{next}(p_1)$ 
23     else  $p_2 \leftarrow \text{next}(p_2)$ 
24 return  $\text{answer}$ 
```

- <https://gist.github.com/pj4dev/33fdaafc4205b927642927193bbf1f3b>

Positional indexes

■ Positional index size

- You can **compress position values/offsets**: we'll talk about that later in next lectures.
- Nevertheless, a positional index **expands postings storage substantially**
- Nevertheless, a **positional index is now standardly used because of the power and usefulness of phrase and proximity queries** ... whether used explicitly or implicitly in a ranking retrieval system.

Positional index size

- Need an entry for each occurrence, not just once per document
- Index size depends on average document size
 - Average web page has <1000 terms
 - SEC filings, books, even some epic poems (heroic based poems)... easily 100,000 terms
- Consider a term with frequency 1 in 1000 terms on average.

Document size	Expected postings	Expected entries in positional posting
1000	1	1
100,000	1	100

Rules of thumb

- A positional index is 2–4 as large as a non-positional index
- Positional index size 35–50% of volume of original text
- Caveat: all of this holds for “English-like” languages

Combination schemes

- These two approaches (Positional index and Biword Index) can be profitably combined
 - For particular phrases (“*Michael Jackson*”, “*Britney Spears*”) it is inefficient to keep on merging positional postings lists, even more so for phrases like “*The Who*”
- Williams et al. (2004) evaluated a more sophisticated mixed indexing scheme.
 - A typical web query mixture was executed in $\frac{1}{4}$ of the time of using just a positional index
 - It required 26% more space than having a positional index alone

Class Exercise

- **Exercise 2.9**

- Below is a part of index with positions in the form doc1: $\langle pos1, pos2, pos3, \dots \rangle$; and doc2: $\langle pos1, pos2, \dots \rangle$
 - angels: 2 : $\langle 36, 174, 252, 651 \rangle$; 4 : $\langle 12, 22, 102, 432 \rangle$; 7 : $\langle 17 \rangle$;
 - fools: 2 : $\langle 1, 17, 74, 222 \rangle$; 4 : $\langle 8, 78, 108, 458 \rangle$; 7 : $\langle 3, 13, 23, 193 \rangle$;
 - fear: 2 : $\langle 87, 704, 722, 901 \rangle$; 4 : $\langle 13, 43, 113, 433 \rangle$; 7 : $\langle 18, 328, 528 \rangle$;
 - in: 2 : $\langle 3, 37, 76, 444, 851 \rangle$; 4 : $\langle 10, 20, 110, 470, 500 \rangle$; 7 : $\langle 5, 15, 25, 195 \rangle$;
 - rush: 2 : $\langle 2, 66, 194, 321, 702 \rangle$; 4 : $\langle 9, 69, 149, 429, 569 \rangle$; 7 : $\langle 4, 14, 404 \rangle$;
 - to: 2 : $\langle 47, 86, 234, 999 \rangle$; 4 : $\langle 14, 24, 774, 944 \rangle$; 7 : $\langle 199, 319, 599, 709 \rangle$;
 - tread: 2 : $\langle 57, 94, 333 \rangle$; 4 : $\langle 15, 35, 155 \rangle$; 7 : $\langle 20, 320 \rangle$;
 - where: 2 : $\langle 67, 124, 393, 1001 \rangle$; 4 : $\langle 11, 41, 101, 421, 431 \rangle$; 7 : $\langle 15, 35, 735 \rangle$;
- The following terms are phrase queries. Which documents correspond to the following queries and on which positions?
 - a) "fools rush in"
 - b) "fools rush in" AND "angels fear to tread".
 - c) The index is incorrect. How?

Class Exercise

- **Exercise 2.9 (Solution)**
 - In order to retrieve the query it is necessary that the words are in a sequence. That is, if the word *angels* is in document 1 on position 3, then the word *fear* have to be in the same document on the position 4.
 - For the exercise a) we calculate all possible positions of the phrase.
 - Word *fools* appears in document 2 on positions $\langle 1, 17, 74, 222 \rangle$. That means that the word *rush* has to appear on positions $\langle 2, 18, 75, 223 \rangle$ and the word *in* on positions $\langle 3, 19, 76, 224 \rangle$. Similar process is applied on documents 4 and 7 which retrieves the requested results.
 - Fools:2 : $\langle \textcolor{red}{1}, 17, 74, 222 \rangle$; 4 : $\langle \textcolor{red}{8}, 78, 108, 458 \rangle$; 7 : $\langle \textcolor{red}{3}, \textcolor{green}{13}, 23, 193 \rangle$;
 - rush: 2 : $\langle \textcolor{red}{2}, 66, 194, 321, 702 \rangle$; 4 : $\langle \textcolor{red}{9}, 69, 149, 429, 569 \rangle$; 7 : $\langle \textcolor{red}{4}, \textcolor{green}{14}, 404 \rangle$;
 - in: 2 : $\langle \textcolor{red}{3}, 37, 76, 444, 851 \rangle$; 4 : $\langle \textcolor{red}{10}, 20, 110, 470, 500 \rangle$; 7 : $\langle \textcolor{red}{5}, \textcolor{green}{15}, 25, 195 \rangle$;
 - RESULT: $\langle \text{doc2}, \text{doc4}, \text{doc7} \rangle$

Class Exercise

- **Exercise 2.9 (Solution)**
 - For the exercise **b)** we find the requested positions for also the term *angels fear to tread*.
 - angels: 2 : <36, 174, 252, 651>; 4 : <**12**, 22, 102, 432>; 7 : <17>;
 - fear: 2 : <87, 704, 722, 901>; 4 : <**13**, 43, 113, 433>; 7 : <18, 328, 528>;
 - to: 2 : <47, 86, 234, 999>; 4 : <**14**, 24, 774, 944>; 7 : <199, 319, 599, 709>;
 - tread: 2 : <57, 94, 333>; 4 : <**15**, 35, 155>; 7 : <20, 320>;
 - RESULT: <doc1>
 - They appear in the correct order in **doc4**: {<12, 13, 14, 15>}. Taking the first part from **a)**, we only check whether the results overlap **{doc2, doc4, doc7} \cap {doc4} = doc4**.
 - For the exercise **c)** we need to have a look into **document 7**, where on position 15 are two terms **in** and **where**.

Homework #2(c)

Exercise 2.10

[★]

Consider the following fragment of a positional index with the format:

word: document: \langle position, position, ... \rangle ; document: \langle position, ... \rangle

...

Gates: 1: \langle 3 \rangle ; 2: \langle 6 \rangle ; 3: \langle 2,17 \rangle ; 4: \langle 1 \rangle ;

IBM: 4: \langle 3 \rangle ; 7: \langle 14 \rangle ;

Microsoft: 1: \langle 1 \rangle ; 2: \langle 1,21 \rangle ; 3: \langle 3 \rangle ; 5: \langle 16,22,51 \rangle ;

The $/k$ operator, $\text{word1} /k \text{ word2}$ finds occurrences of word1 within k words of word2 (on either side), where k is a positive integer argument. Thus $k = 1$ demands that word1 be adjacent to word2 .

- Describe the set of documents that satisfy the query Gates /2 Microsoft.
- Describe each set of values for k for which the query Gates / k Microsoft returns a different set of documents as the answer.

Homework #2(d)

- **Exercise 2.13 [★★]**
- Suppose we wish to use a postings intersection procedure to determine simply the list of documents that satisfy a $/k$ clause, rather than returning the list of positions, as in Figure 2.12 (page 42). For simplicity, assume $k \geq 2$. Let L denote the total number of occurrences of the two terms in the document collection (i.e., the sum of their collection frequencies). Which of the following is true? Justify your answer.
 - a. The merge can be accomplished in a number of steps linear in L and independent of k , and we can ensure that each pointer moves only to the right.
 - b. The merge can be accomplished in a number of steps linear in L and independent of k , but a pointer may be forced to move non-monotonically (i.e., to sometimes back up)
 - c. The merge can require kL steps in some cases.

Homework #2(e)

- **Exercise 2.14 [★★]**
- How could an IR system combine use of a positional index and use of stop words? What is the potential problem, and how could it be handled?

Homework #2(f)

- Visit the following link and build a positional index based search engine and then submit the report with output.
 - <http://www.elemarjr.com/en/2018/02/phrase-queries-and-positional-indexes-in-c/>
 - Presentation is due at any time during class hours.

Articles to be Read

- Spoken language identification:
 - Hughes, Baden, Timothy Baldwin, Steven Bird, Jeremy Nicholson, and Andrew MacKinlay. 2006. Reconsidering language identification for written language re- sources. In *Proc. International Conference on Language Resources and Evaluation*, pp. 485–488.
- Discussion of the positive and negative impact of stemming :
 - Hollink, Vera, Jaap Kamps, Christof Monz, and Maarten de Rijke. 2004. Monolingual document retrieval for European languages. *IR* 7(1):33–52.
- Skip pointer extended technique:
 - Boldi, Paolo, and Sebastiano Vigna. 2005. Compressed perfect embedded skip lists for quick inverted-index lookups. In *Proc. SPIRE*. Springer.
 - Strohman, Trevor, and W. Bruce Croft. 2007. Efficient document retrieval in main memory. In *Proc. SIGIR*, pp. 175–182. ACM Press.

Homework (Not for submission)

- Visit the following link; Execute the source code; See the errors in the output and try to remove it.
 - <https://github.com/manning/MergeAlgorithms>